

# PRINCIPLES OF INTELLIGENT SYSTEMS: IMPROVING BACKTRACKING SEARCH\*

## LECTURE 7

---

\*These slides are taken from the Chapter 4b slides of Russell and Norvig's *Artificial Intelligence: A modern approach* (<http://aima.eecs.berkeley.edu/slides-pdf/>)

# Outline

- ◇ Variable and value ordering
- ◇ Forward-checking
- ◇ Arc-consistency
- ◇ Problem structure and problem decomposition

## Review: Backtracking search

```
function BACKTRACKING-SEARCH(csp) returns solution/failure
  return RECURSIVE-BACKTRACKING([], csp)

function RECURSIVE-BACKTRACKING(assigned, csp) returns solution/failure
  if assigned is complete then return assigned
  var ← SELECT-UNASSIGNED-VARIABLE(VARIABLES[csp], assigned, csp)
  for each value in ORDER-DOMAIN-VALUES(var, assigned, csp) do
    if value is consistent with assigned according to CONSTRAINTS[csp] then
      result ← RECURSIVE-BACKTRACKING([var = value | assigned], csp)
      if result ≠ failure then return result
  end
  return failure
```

# Improving backtracking efficiency

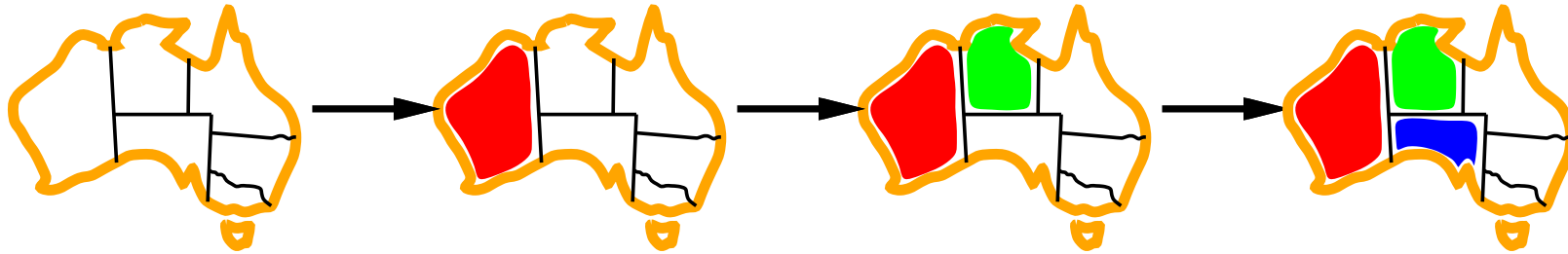
*General-purpose* methods can give huge gains in speed:

1. Which variable should be assigned next?
2. In what order should its values be tried?
3. Can we detect inevitable failure early?
4. Can we take advantage of problem structure?

## Most constrained variable

Most constrained variable:

choose the variable with the fewest legal values

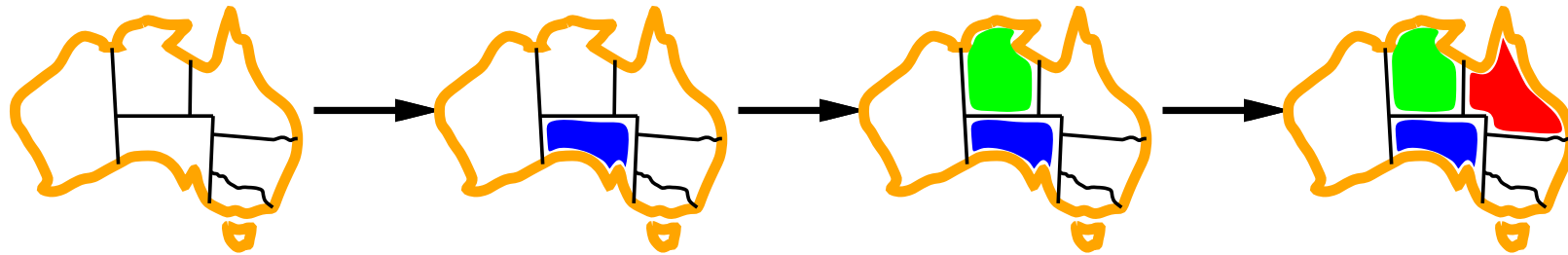


# Most constraining variable

Tie-breaker among most constrained variables

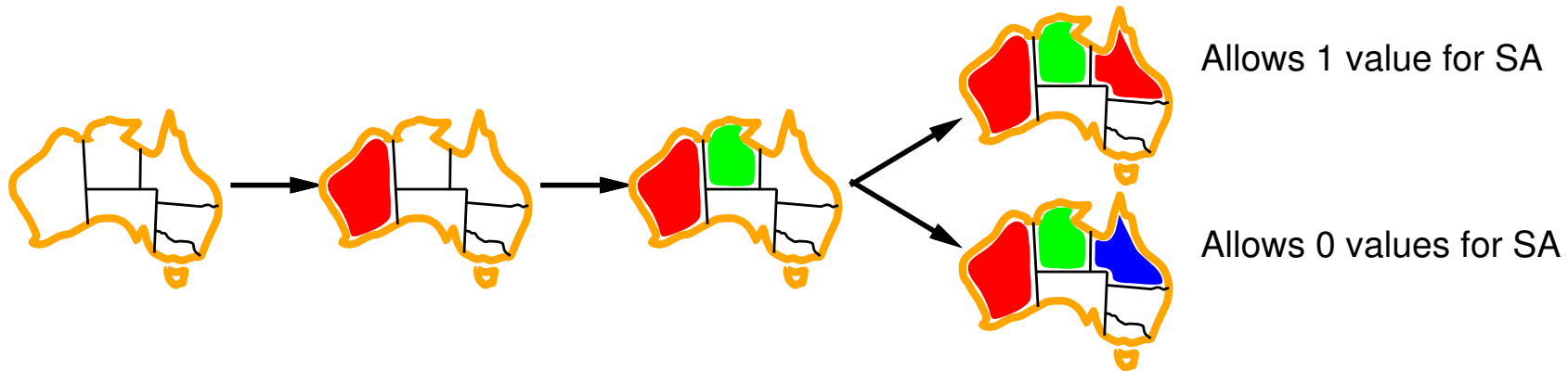
Most constraining variable:

choose the variable with the most constraints on remaining variables



# Least constraining value

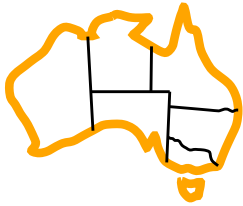
Given a variable, choose the least constraining value:  
the one that rules out the fewest values in the remaining variables



Combining these heuristics makes 1000 queens feasible

# Forward checking

Idea: Keep track of remaining legal values for unassigned variables  
Terminate search when any variable has no legal values



WA

NT

Q

NSW

V

SA

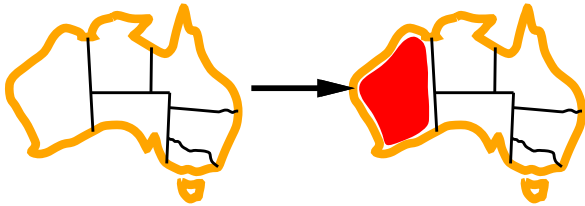
T





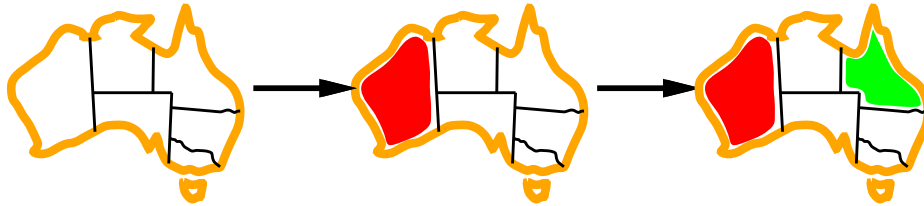
# Forward checking

Idea: Keep track of remaining legal values for unassigned variables  
Terminate search when any variable has no legal values



# Forward checking

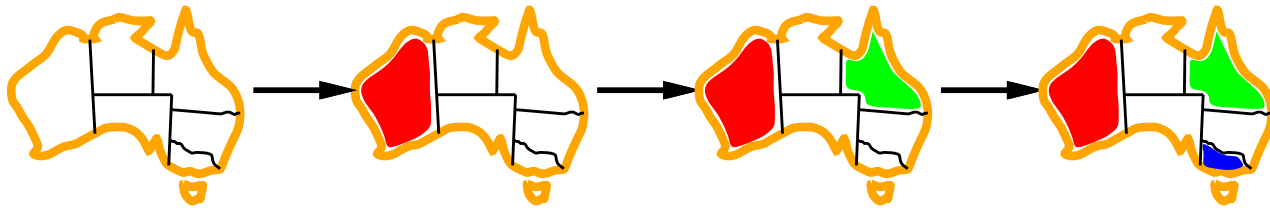
Idea: Keep track of remaining legal values for unassigned variables  
 Terminate search when any variable has no legal values



WA	NT	Q	NSW	V	SA	T
Red, Green, Blue	Red, Green, Blue	Red, Green, Blue	Red, Green, Blue	Red, Green, Blue	Red, Green, Blue	Red, Green, Blue
Red, Red, Red	Green, Blue	Red, Green, Blue	Red, Green, Blue	Red, Green, Blue	Green, Blue	Red, Green, Blue
Red, Red, Red	Blue	Green, Green, Green	Red, Blue	Red, Green, Blue	Blue	Red, Green, Blue

# Forward checking

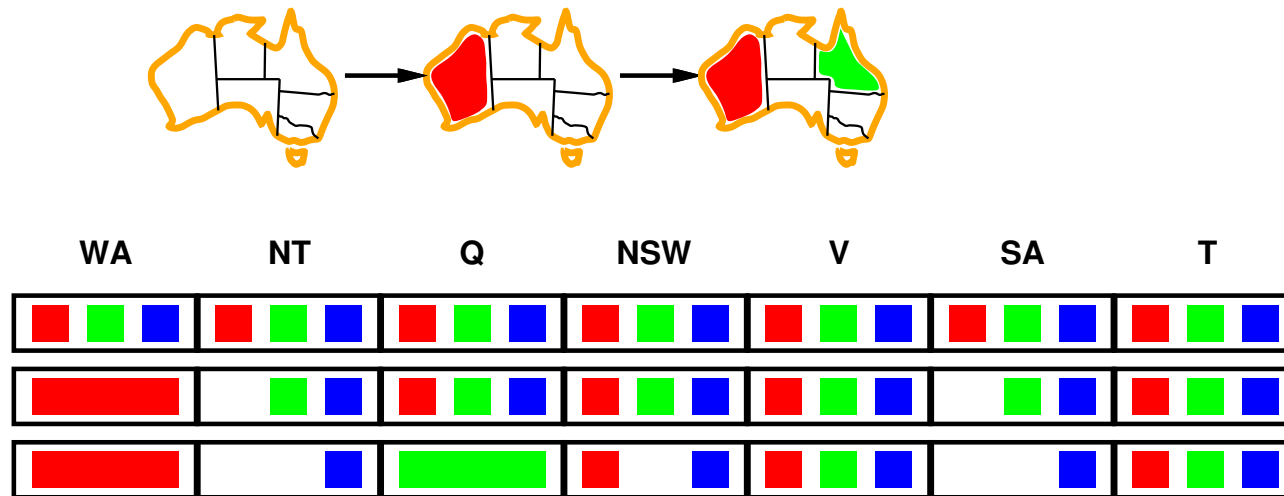
Idea: Keep track of remaining legal values for unassigned variables  
 Terminate search when any variable has no legal values



WA	NT	Q	NSW	V	SA	T
Red, Green, Blue	Red, Green, Blue	Red, Green, Blue	Red, Green, Blue	Red, Green, Blue	Red, Green, Blue	Red, Green, Blue
Red, Green, Blue	Green, Blue	Red, Green, Blue	Red, Green, Blue	Red, Green, Blue	Green, Blue	Red, Green, Blue
Red, Green, Blue	Blue	Green, Blue	Red, Blue	Red, Green, Blue	Blue	Red, Green, Blue
Red, Green, Blue	Blue	Green, Blue	Red	Blue		Red, Green, Blue

# Constraint propagation

Forward checking propagates information from assigned to unassigned variables, but doesn't provide early detection for all failures:



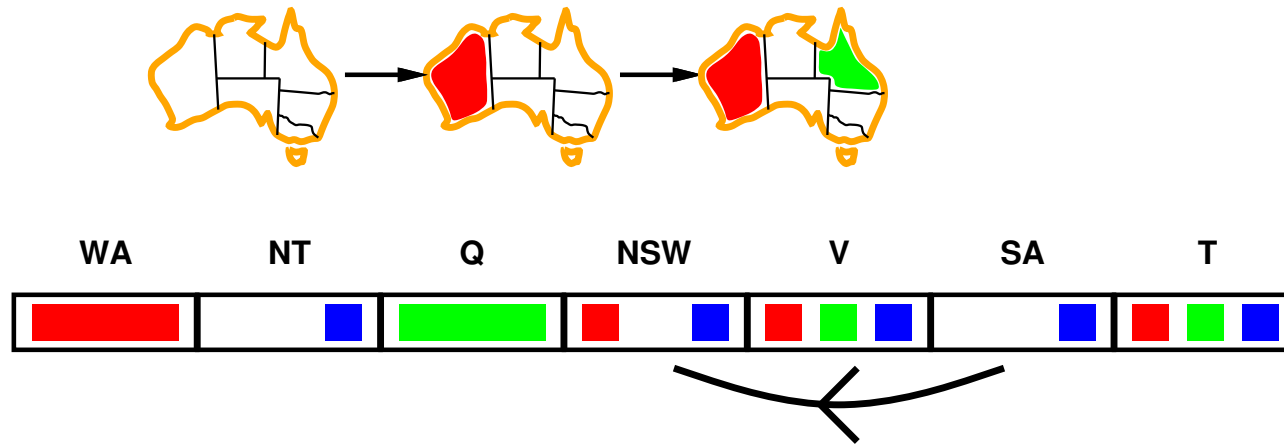
*NT* and *SA* cannot both be blue!

Constraint propagation repeatedly enforces constraints locally

# Arc consistency

Simplest form of propagation makes each arc **consistent**

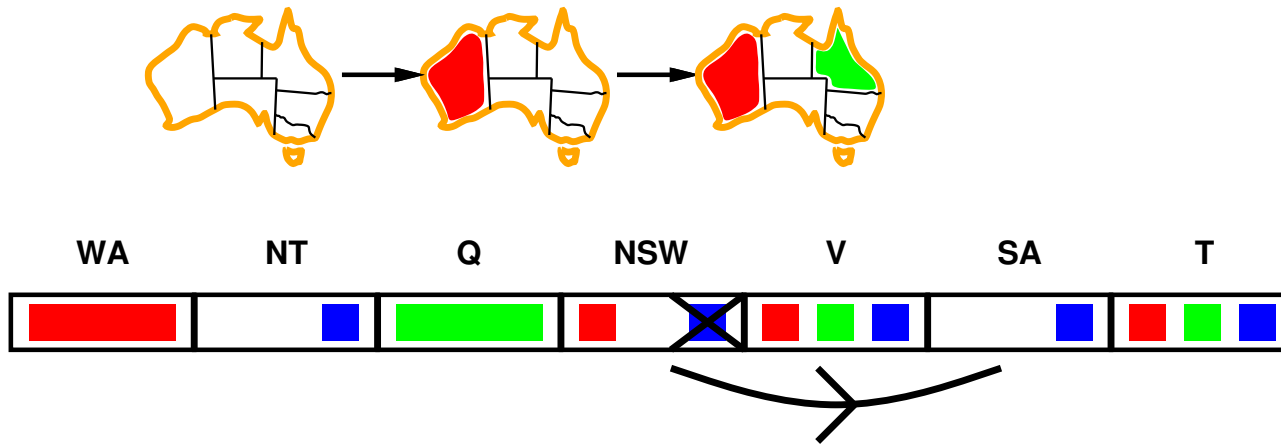
$X \rightarrow Y$  is consistent iff  
for *every* value  $x$  of  $X$  there is *some* allowed  $y$



# Arc consistency

Simplest form of propagation makes each arc **consistent**

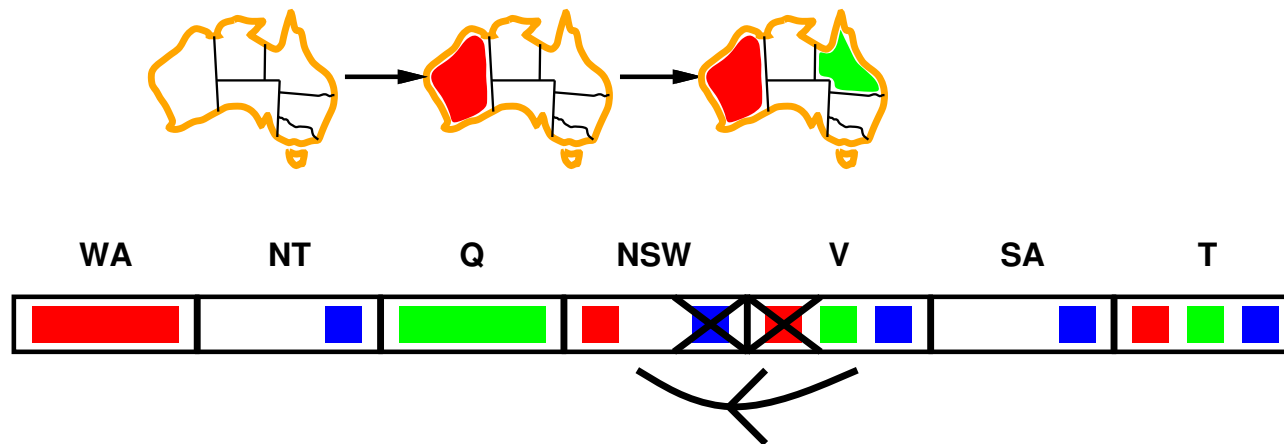
$X \rightarrow Y$  is consistent iff  
for *every* value  $x$  of  $X$  there is *some* allowed  $y$



# Arc consistency

Simplest form of propagation makes each arc **consistent**

$X \rightarrow Y$  is consistent iff  
for *every* value  $x$  of  $X$  there is *some* allowed  $y$

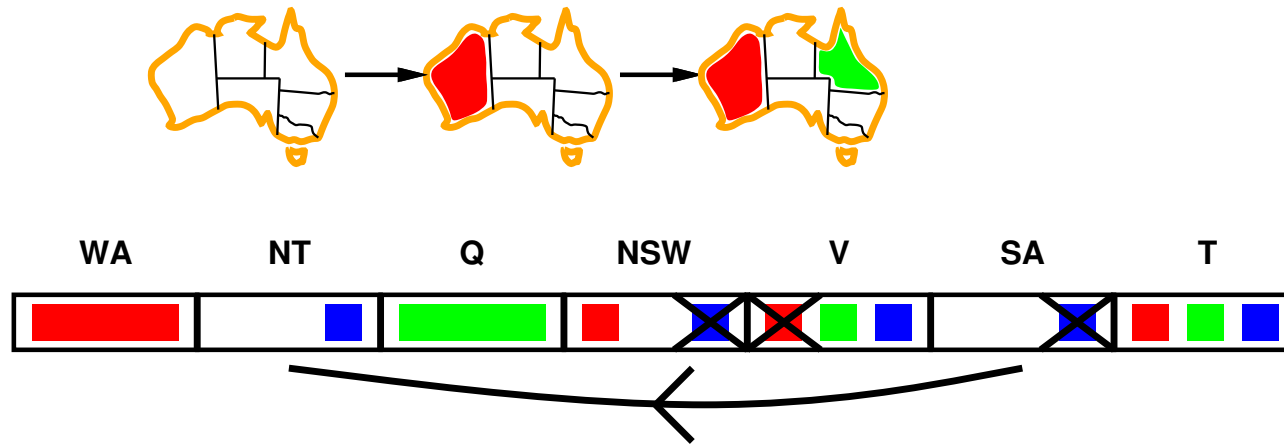


If  $X$  loses a value, neighbors of  $X$  need to be rechecked

# Arc consistency

Simplest form of propagation makes each arc **consistent**

$X \rightarrow Y$  is consistent iff  
for *every* value  $x$  of  $X$  there is *some* allowed  $y$



If  $X$  loses a value, neighbors of  $X$  need to be rechecked

Arc consistency detects failure earlier than forward checking

Can be run as a preprocessor or after each assignment



## Arc consistency algorithm

**function** AC-3(*csp*) returns the CSP, possibly with reduced domains

**inputs:** *csp*, a binary CSP with variables  $\{X_1, X_2, \dots, X_n\}$

**local variables:** *queue*, a queue of arcs, initially all the arcs in *csp*

**while** *queue* is not empty **do**

$(X_i, X_j) \leftarrow \text{REMOVE-FIRST}(\textit{queue})$

**if** REMOVE-INCONSISTENT-VALUES( $X_i, X_j$ ) **then**

**for each**  $X_k$  **in** NEIGHBORS[ $X_i$ ] **do**

            add  $(X_k, X_i)$  to *queue*

---

**function** REMOVE-INCONSISTENT-VALUES( $X_i, X_j$ ) returns true iff we remove a value

*removed*  $\leftarrow$  false

**for each**  $x$  **in** DOMAIN[ $X_i$ ] **do**

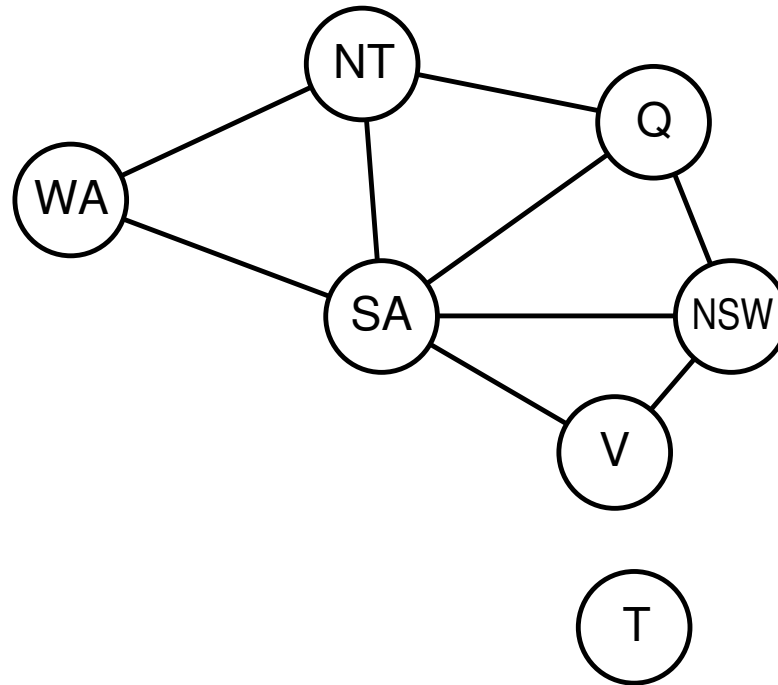
**if** no value  $y$  in DOMAIN[ $X_j$ ] allows  $(x, y)$  to satisfy the constraint between  $X_i$  and  $X_j$

**then** delete  $x$  from DOMAIN[ $X_i$ ]; *removed*  $\leftarrow$  true

**return** *removed*

$O(n^2d^3)$ , can be reduced to  $O(n^2d^2)$   
but cannot detect all failures in poly time!

# Problem structure



Tasmania and mainland are **independent subproblems**

Identifiable as **connected components** of constraint graph

## Problem structure contd.

Suppose each subproblem has  $c$  variables out of  $n$  total

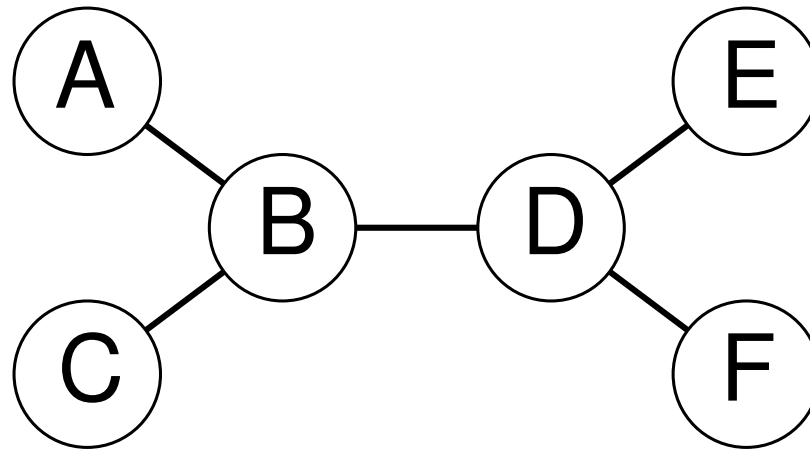
Worst-case solution cost is  $n/c \cdot d^c$ , *linear* in  $n$

E.g.,  $n = 80$ ,  $d = 2$ ,  $c = 20$

$2^{80} = 4$  billion years at 10 million nodes/sec

$4 \cdot 2^{20} = 0.4$  seconds at 10 million nodes/sec

## Tree-structured CSPs



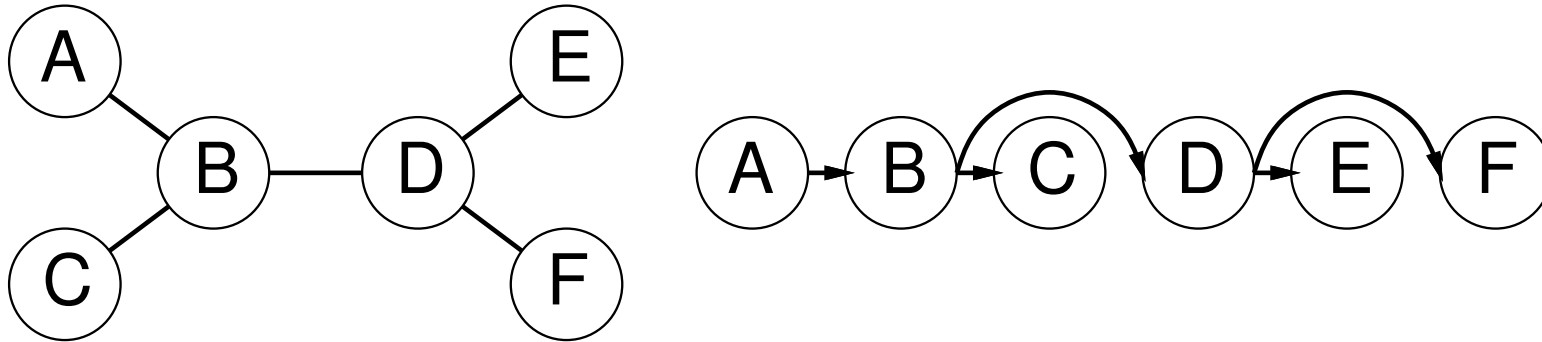
**Theorem:** if the constraint graph has no loops, the CSP can be solved in  $O(n d^2)$  time

Compare to general CSPs, where worst-case time is  $O(d^n)$

This property also applies to logical and probabilistic reasoning:  
an important example of the relation between syntactic restrictions  
and the complexity of reasoning.

## Algorithm for tree-structured CSPs

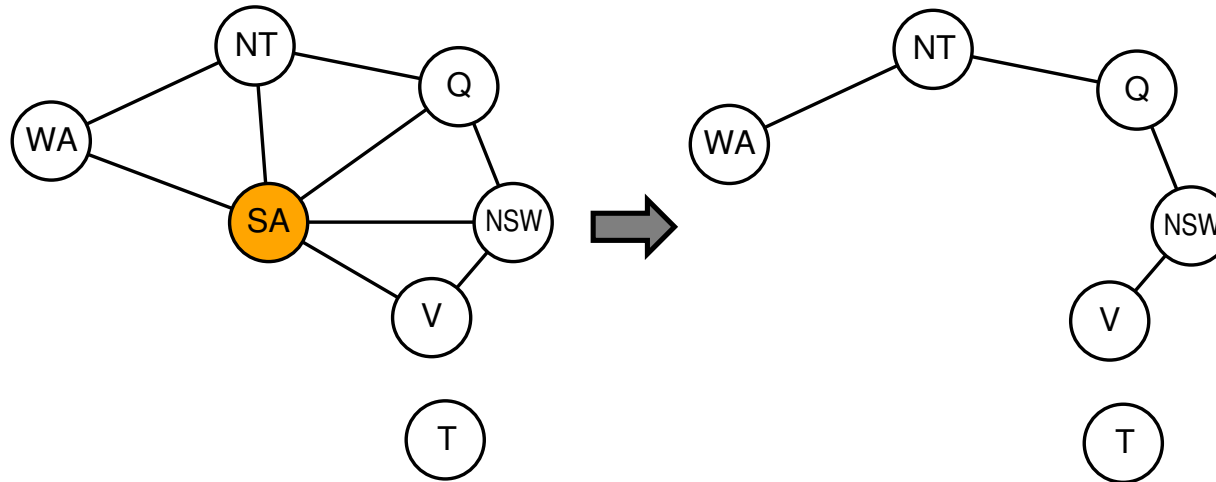
1. Choose a variable as root, order variables from root to leaves such that every node's parent precedes it in the ordering



2. For  $j$  from  $n$  down to 2, apply  $\text{REMOVEINCONSISTENT}(\text{Parent}(X_j), X_j)$
3. For  $j$  from 1 to  $n$ , assign  $X_j$  consistently with  $\text{Parent}(X_j)$

## Nearly tree-structured CSPs

**Conditioning:** instantiate a variable, prune its neighbors' domains



**Cutset conditioning:** instantiate (in all ways) a set of variables such that the remaining constraint graph is a tree

Cutset size  $c \Rightarrow$  runtime  $O(d^c \cdot (n - c)d^2)$ , very fast for small  $c$

## Summary

Variable ordering and value selection heuristics help backtracking significantly

Forward checking prevents assignments that guarantee later failure

Constraint propagation (e.g., arc consistency) does additional work to constrain values and detect inconsistencies

The CSP representation allows analysis of problem structure

Tree-structured CSPs can be solved in linear time